

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Charles R. Haskins

Serial No.: 09/381,243

Filing Date: January 21, 2000

For: Method and System for Establishing,  
Monitoring, and Reserving a Guaranteed  
Minimum Value Return on Select  
Investments

Examiner: SUBRAMANIAN, Narayanaswamy

Art Unit: 3691

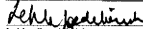
Confirmation No.: 3704

CERTIFICATE OF ELECTRONIC TRANSMISSION UNDER 37  
C.F.R. 1.6(a)(4)

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Dated: September 7, 2008

Signed:

  
Lekha Gopal Krishnan

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice of Non-Compliant Amendment mailed August 7, 2008, Applicant respectfully responds as follows. This response is being filed on or before September 7, 2008. Although the Applicants do not believe any fees are required, the Commissioner is authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 50-0310 (Docket No.: 064385-5030-US).